



Preparing for Your Financial Plan

Prior to engaging in a successful financial planning relationship, we must first understand your current financial circumstances. The following are recommendations of information that we would request you bring to our upcoming meeting. Some of this data may not be relevant to your situation, meanwhile, you may have other financial documents that we have not listed which you believe would be pertinent to our discussion. We always recommend that you gather as much information as you can. We would request your most recent statements, but if you are unable to locate them, please bring the most recent that you have.

Most Recent Personal Financial Document

- Tax Returns
- Bank Statements
- Brokerage Statements
- Retirement Statements (IRA, 401k, 403b)
- Life Insurance Statements (term, universal, whole life)
- Disability / Long-Term Care Insurance Statements
- Umbrella Insurance Statements
- Annuity Statements
- Educational Account Statements (529, TAP, UGMA, etc.)
- Social Security Statement (can be obtained online at ssa.gov)
- Pension Statements
- Business Valuation
- Business Financial Statements (Balance Sheet, P&L Statement)
- Mortgage / Loan Statements
- Will & Trust Documents
- Date of Birth for Children and Grandchildren
- Household Budget
- Your Bucket List (List of things you would like to accomplish in your lifetime)
- Concerns (List of topics that keep you awake at night)