

Partnering with the RIA & Custody Services Division of Raymond James

The support to build the business you envision – and the freedom to run it on your terms.

The RIA & Custody Services Division (RCS) provides comprehensive support services to independent, fee-based financial advisors and service providers. As your trusted partner, we'll offer you a wide breadth of innovative fee-based programs paired with a service experience entirely tailored to your distinct needs. In addition, we'll give you complete access to all of the resources, support and expertise Raymond James has to offer – available for you to leverage as you see fit. It's why RIAs like you come to Raymond James, and why they stay.

Explore the key benefits of our two most popular models:

JOIN AS AN INDEPENDENT RIA (SEC-REGISTERED)

- Apply our in-house, fully integrated technology, including our mobile Client Relationship Manager, portfolio management tools, innovative Goal Planning & Monitoring software and more.
- Count on our one-on-one service approach, including dedicated service contacts and unbridled access to Raymond James back office support through our intranet platform, RJnet.
- Enjoy personalized transition support from an expert consultant committed to helping you manage and execute each element of your move.
- Craft your own brand and connect with clients and prospects like never before by collaborating with our in-house, award-winning marketing team.
- Explore industry insights with full access to Raymond James' renowned research and educational opportunities.
- Benefit from our vast lending options, including competitive margin, securities-backed lines of credit and mortgage lending rates. Remember, you'll be working with our bank – not for a bank.
- Join a custody platform that views you as a premier partner. The RIA & Custody Services Division of Raymond James is solely focused on serving RIAs, our business model is conflict-free with no competing retail platform.
- Profit from 100% payout on fee-based assets.

JOIN AN RIA AS AN INDEPENDENT ADVISOR REPRESENTATIVE (IAR)

- Leverage the scalability of a leading financial firm and our custody relationship.
- Move into a customized RIA without having to build and design it yourself.
- Benefit from comprehensive compliance support, so you can spend less time on administrative tasks and more time focusing on clients.
- Enjoy additional holistic support from dedicated teams specializing in everything from client and portfolio management to cybersecurity, marketing and more.
- Make the most of turnkey technology offerings built with integrated workflows.
- Join a firm through a “doing business as” scenario, which allows you to keep your own look, feel and branding while leveraging the full strength of Raymond James.
- You can also choose a possible ownership option, enabling you to own a stake in the firm you join, profit from the firm's growth and reap possible tax benefits without taking on full responsibility for the business.
- Maintain direct access to the suite of services and technology available at Raymond James – just as if you had joined directly with your own RIA.

Ready to learn more? Visit [raymondjamesria.com](https://www.raymondjamesria.com).