

# CPC ADVISORS

An Independent Registered Investment Advisory Firm

**Michael L. Hines, CFP<sup>®</sup>**

111 2<sup>nd</sup> Avenue NE, Suite 1250  
St. Petersburg, FL 33701

**Consolidated Planning Corporation**

d/b/a

**CPC Advisors  
CPC Impact Investing  
CPC Impact Investments**

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Atlanta, GA 30309

Telephone: 404-879-3000  
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July 2024

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Michael L. Hines (CRD no. 246442) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael L. Hines is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Michael L. Hines, CFP®**

*Year of Birth:* 1944

### *Formal Education after High School:*

- Georgia State University, Attended, 1964-1968.
- University of Georgia, Attended, 1962-1963.

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, President/Founder/ Advisory Representative, 10/1975 - Present.
- Raymond James Financial Services Advisors, Inc., Advisory Representative, 01/2009 - 10/2021.
- Raymond James Financial Services, Inc., Registered Representative, 05/1975 - Present.

### *Certifications:*

#### **Certified Financial Planner (CFP®) (1975)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics*

*and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

In addition, Mr. Hines passed the Series 1 (Registered Representative Examination), Series 4 (Registered Options Principal Examination), Series 00 (General Securities Principal Examination) and the Series 63 (Uniform Securities Agent State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Hines has no required disclosures under this item.

### Item 4 Other Business Activities

Mr. Hines is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Hines may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Hines, he will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally, Mr. Hines could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Hines an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. Hines is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Hines for insurance-related activities. This presents a conflict of interest because Mr. Hines may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

Michael L. Hines, President and sole owner of our firm serves as a managing member of a real estate limited partnership, GLG Lending, LLC. GLG Lending is a personal real estate investment of Mr. Hines in which one client of our firm is also a managing member. Clients of our firm are not solicited to invest in this partnership. *This business entity and investment is not affiliated with RJFS.*

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Hines' receipt of additional compensation as a result of his other business activities.

Also, please refer to the *Fees and Compensation* and the *Other Financial Industry Activities and Affiliations* sections of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Varun Marneni, Executive Vice President

Supervisor phone number: 404-879-3000



**Adele V. Gipson, CFA, CFP<sup>®</sup>, CPA**

**Consolidated Planning Corporation**  
d/b/a  
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**April 5, 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Adele V. Gipson (CRD no. 5175273) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Adele V. Gipson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Adele V. Gipson, CFA, CFP<sup>®</sup>, CPA**

*Year of Birth:* 1967

### *Formal Education after High School:*

- Christian Brothers College, B.S. Business Administration, 1989.

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor/Investment Adviser Representative, 05/2008 - Present.
- Raymond James Financial Services Advisors, Inc, Investment Adviser Representative, 04/2019 - 10/2021.
- Raymond James Financial Services, Inc., Registered Representative, 05/2008 - Present.

### *Certifications:*

#### **Certified Financial Planner (CFP<sup>®</sup>) (2007)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP<sup>®</sup> professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). CFP<sup>®</sup> certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. You may find more information about CFP<sup>®</sup> certification at [www.cfp.net](http://www.cfp.net).

CFP<sup>®</sup> professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP<sup>®</sup> professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP<sup>®</sup> Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP<sup>®</sup> Certification and Former CFP<sup>®</sup> Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP<sup>®</sup> professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

### **Chartered Financial Analyst (CFA) (2000)**

This designation is issued by the CFA Institute and is granted to individuals who meet one of the following prerequisites: possess an undergraduate degree and four years of professional experience investment decision making; or four years qualified work experience (full time, but not necessarily investment related). The candidate is required to follow a self-study program involving 250 hours of study for each of the following three disciplines: Level One: Ethics & Professional Standards; Level Two: Investment Tools & Asset Classes; and Level Three: Portfolio Management & Wealth Planning. Once the designation is issued, no further Continuing Education is required.

**Certified Public Accountant (CPA) (1991):** To qualify for a CPA certificate and licensure to practice public accounting, all CPA candidates must pass the Uniform CPA Examination. Candidates must also comply with education and experience requirements, which may vary from state to state.

In addition, Ms. Gipson passed the Series 7 (General Securities Representative Examination), and the Series 63 (Uniform Securities Agent State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### **Item 3 Disciplinary Information**

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### **Item 4 Other Business Activities**

Ms. Gipson is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Gipson may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Gipson, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Gipson could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional

compensation may give Ms. Gipson an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Ms. Gipson is separately licensed as an independent insurance agent. In this capacity, she can effect transactions in insurance products for her clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Ms. Gipson for insurance-related activities. This presents a conflict of interest because Ms. Gipson may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Gipson's receipt of additional compensation as a result of her activities as a registered representative of RJFS and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

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My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000





**Blake A. Flood, CFP<sup>®</sup>**

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*d/b/a*  
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**April 5, 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Blake A. Flood (CRD no. 2485222) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Blake A. Flood is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Blake A. Flood, CFP®**

*Year of Birth:* 1970

### *Formal Education after High School:*

- Georgia State University, Masters, Business, 2001.
- University of Michigan, B.A., History, 1992.

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Senior Vice President/Advisory Representative, 08/1998 - Present.
- Raymond James Financial Services Advisors, Inc, Investment Adviser Representative, 04/2019 - 10/2021.
- Raymond James Financial Services, Inc., Registered Representative, 12/1998 - Present.

### *Certifications:*

#### **Certified Financial Planner (CFP®) (2005)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at [www.cfp.net](http://www.cfp.net).

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and *Standards of Conduct* (“*Code and Standards*”), which sets forth the ethical and practice standards for CFP® professionals.

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- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

In addition, Mr. Flood passed the Series 6 (Investment Company Products/Variable Contracts Representative Examination), Series 7 (General Securities Representative Examination), and the Series 63 (Uniform Securities Agent State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Flood has no required disclosures under this item.

### Item 4 Other Business Activities

Mr. Flood is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Flood may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Flood, he will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally, Mr. Flood could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Flood an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. Flood is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Flood for insurance-related activities. This presents a conflict of interest because Mr. Flood may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Flood's receipt of additional compensation as a result of his activities as a registered representative of RJFS and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

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My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



**Varun K. Marneni, CFP<sup>®</sup>, CEPA<sup>®</sup>**  
**Consolidated Planning Corporation**  
d/b/a  
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**April 5, 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Varun K. Marneni (CRD no. 5986060) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Varun K. Marneni is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Varun K. Marneni, CFP®, CEPA®**

*Year of Birth:* 1989

### *Formal Education After High School:*

- University of Florida, BS, Finance, 2011

### *Business Background:*

- Consolidated Planning Corporation, Executive Vice President/Investment Adviser Representative, 4/2015 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 8/2014 - 10/2021
- Raymond James Financial Services Inc., Registered Representative, 11/2011 - Present

### *Certifications:*

#### **Certified Financial Planner (CFP®) (2016)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at [www.cfp.net](http://www.cfp.net).

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- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct (“Code and Standards”)*, which sets forth the ethical and practice standards for CFP® professionals.

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- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

### **Certified Exit Planning Advisor (CEPA®) (2022)**

#### PROFESSIONAL DESIGNATION DISCLOSURES:

The Certified Exit Planning Advisor (CEPA®) credential is for professional advisors who want to effectively engage more business owners. Through the process of Exit Planning (the Value Acceleration Methodology™), owners can build more valuable companies, have stronger personal financial plans, and align their personal goals. Earning CEPA doesn't change your expertise, it enhances your ability to engage business owners and have value-added conversations around growth and exit.

Candidate must meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity.
- Undergraduate degree from a qualifying institution; if no qualifying degree must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies).
- Exit Planning Institute member in good standing.

There is also a five-day educational program that is offered by Exit Planning Institute (“EPI”), exam and CEPA examination fee.

Continuing Education Credits: The CEPA program is approved for up to 19.5 CPE hours and 14.5 CFP® hours. 40 hours every three years is required to complete the CE requirements.

In addition, Mr. Marneni passed the Series 7 (General Securities Representative Examination), Series 24 (General Securities Principal Examination), and the Series 66 (Uniform Combined State Law Examination). He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### **Item 3 Disciplinary Information**

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### **Item 4 Other Business Activities**

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### **Item 5 Additional Compensation**

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Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

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My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000





**David G. Hunter, CFA, CAIA, CSRIC®**

**Consolidated Planning Corporation**

*d/b/a*

**CPC Advisors**

**CPC Impact Investing**

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**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about David G. Hunter (CRD no. 5308154) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about David G. Hunter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**David G. Hunter, CFA, CAIA, CSRIC®**

*Year of Birth:* 1982

*Formal Education After High School:*

- University of Florida, 2006, Bachelors, Economics

*Business Background:*

- Consolidated Planning Corporation, Chief Investment Officer/Investment Adviser Representative, 7/2016 - Present
- Raymond James Financial Services Inc., Financial Advisor/Registered Representative, 7/2016 - Present

*Certifications:*

### **Chartered Financial Analyst® (CFA) (2011)**

This designation is issued by the CFA Institute and is granted to individuals who meet one of the following prerequisites: possess an undergraduate degree and four years of professional experience investment decision making; or four years qualified work experience (full time, but not necessarily investment related). The candidate is required to follow a self-study program involving 250 hours of study for each of the following three disciplines: Level One: Ethics & Professional Standards; Level Two: Investment Tools & Asset Classes; and Level Three: Portfolio Management & Wealth Planning. Once the designation is issued, no further Continuing Education is required.

### **Chartered Alternative Investment Analyst (“CAIA”) (2013)**

PROFESSIONAL DESIGNATION DISCLOSURES:

Chartered Alternative Investment Analyst (CAIA) is a professional designation granted by the Chartered Alternative Investment Analyst Association to candidates who have completed Level I and Level II examinations and was established to certify that the holders have met the association's educational standard for specialists in the area of alternative investments. The alternative investments that a CAIA is trained to assess include hedge funds, venture capital, private equity, funds of funds, derivatives, and real estate investments.

In order to receive the designation, individuals must meet minimum education and experience requirements and must pass a two-level curriculum that includes topics ranging from qualitative analysis and trading theories of alternative investments to indexation and benchmarking.

Individuals must meet one of the following requirements:

- Bachelor's or equivalent degree and more than one year of business experience in the financial industry, or
- Four years of experience in the financial industry

Once certified, there are annual membership dues, and a self-evaluation tool to be completed every three years to maintain the designation.

## **Chartered SRI Counselor™(CSRIC®) (2020)**

Chartered SRI Counselor™(CSRIC®) is a professional designation granted by the College for Financial Planning – a Kaplan Company. This designation provides a blend of foundational knowledge and scenario learning to work with sustainable, responsible, and impact (SRI) investments, alongside environmental, social, and governance (ESG) factors, for a variety of clients. It also provides experienced financial advisors and investment professionals with a foundation knowledge of the history, definitions, trends, portfolio construction principles, fiduciary responsibilities, and best practices for sustainable investing.

There are no prerequisites to get the CSRIC designation. Upon passing the exam and paying the fee, individuals must pay the renewal fee and complete 16 hours of continuing education every two years. In addition, Mr. Hunter passed the Series 6 (Investment Company Products/Variable Contracts Representative Examination), Series 7 (General Securities Representative Examination), Series 63 (Uniform Securities Agent State Law Examination), and the Series 66 (Uniform Combined State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### **Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Hunter has no required disclosures under this item.

### **Item 4 Other Business Activities**

David Hunter is a Registered Representative with Raymond James Financial Services, Inc. ("RJFS"). ("RJFS") is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Hunter may recommend securities or insurance products offered by ("RJFS") as part of your investment portfolio. If you purchase these products through Mr. Hunter, he will receive the customary commissions in his separate capacity as a Registered Representative of ("RJFS").

Additionally, Mr. Hunter could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Hunter an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

### **Item 5 Additional Compensation**

Refer to the *Other Business Activities* section above for disclosures on Mr. Hunter's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael L. Hines, President, Founder

Supervisor phone number: 404-879-3000



An Independent Registered Investment Advisory Firm

**Michael J. McCool, CFP<sup>®</sup>, CIMA<sup>®</sup>**

**Consolidated Planning Corporation**

*d/b/a*

**CPC Advisors**

**CPC Impact Investing**

**CPC Impact Investments**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Michael J. McCool (CRD no. 4408105) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael J. McCool is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Michael J. McCool, CFP<sup>®</sup>, CIMA<sup>®</sup>**

*Year of Birth:* 1962

### *Formal Education after High School:*

- Columbia University, B.A., Economics, 1984.

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor/Investment Adviser Representative, 05/2007 - Present.
- Raymond James Financial Services Advisors, Inc, Investment Adviser Representative, 1/2014 - 10/2021.
- Raymond James Financial Services, Inc., Financial Advisor/Registered Representative, 05/2007 - Present.

### *Certifications:*

#### **Certified Financial Planner (CFP<sup>®</sup>) (2005)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP<sup>®</sup> professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). CFP<sup>®</sup> certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. You may find more information about CFP<sup>®</sup> certification at [www.cfp.net](http://www.cfp.net).

CFP<sup>®</sup> professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP<sup>®</sup> professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP<sup>®</sup> Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP<sup>®</sup> Certification and Former CFP<sup>®</sup> Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP<sup>®</sup> professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board’s *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

### **Certified Investment Management Analyst (CIMA®) (2015)**

Certified Investment Management Analyst (CIMA®) is a professional designation issued by the Investments & Wealth Institute. This designation provides advisors, consultants, and analysts with the tools to go beyond investment fundamentals. The program:

- Integrates practical knowledge with investment theory, with deep dives into advanced portfolio construction, accounting, and risk management.
- Delivers a systematic process to put this sophisticated knowledge into action - differentiating services, solutions, and outcomes.

Individuals must meet one of the following requirements:

- least three years of verified work experience in financial services and
- a satisfactory record of ethical conduct, as determined by Investments & Wealth Institute Admissions Committee

Upon passing the exam and paying the fee, individuals must pay the certification renewal fee and complete 40 hours of continuing education every two years.

In addition, Mr. McCool passed the Series 7 (General Securities Representative Examination), Series 31 (Futures Managed Funds Examination), Series 63 (Uniform Securities Agent State Law Examination), and the Series 65 (Uniform Investment Adviser Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### **Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. McCool has no required disclosures under this item.

### **Item 4 Other Business Activities**

Mr. McCool is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. McCool may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. McCool, he

will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally, Mr. McCool could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. McCool an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. McCool is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. McCool for insurance-related activities. This presents a conflict of interest because Mr. McCool may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. McCool's receipt of additional compensation as a result of his activities as a registered representative of RJFS and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a CCO who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000





An Independent Registered Investment Advisory Firm

**Matthew T. Brinkley**

**Consolidated Planning Corporation**

*d/b/a*

**CPC Advisors**

**CPC Impact Investing**

**CPC Impact Investments**

**320 E. Clayton Street  
Suite 507  
Athens, GA 30601**

**Telephone: 404-879-3000**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Matthew T. Brinkley (CRD no. 4297932) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Matthew T. Brinkley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Matthew T. Brinkley

Year of Birth: 1968

#### *Formal Education After High School:*

- University of Georgia, Bachelor of Arts, Accounting, 1991
- Cannon Financial Institute, Cannon Trust School, 2004

#### *Business Background:*

- Consolidated Planning Corporation, Wealth Manager/Investment Adviser Representative, 10/2018 - Present
- Raymond James Financial Services, Inc., Financial Advisor, 1/2014 - Present
- Raymond James Financial Services Advisors, Inc, Investment Adviser Representative, 1/2014 - 10/2021
- TSD Advisors, LLC d/b/a The Avenue Wealth Management Group, Advisor for Support Company, 1/2014 - 9/2018

#### *Certifications:*

None

In addition, Mr. Brinkley passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Combined State Law Examination). He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Brinkley has no required disclosures under this item.

## Item 4 Other Business Activities

Matthew T. Brinkley is a Registered Representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Brinkley may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If you purchase these products through Mr. Brinkley, he will receive the customary commissions in his separate capacity as a Registered Representative of RJFS.

Additionally, Mr. Brinkley could be eligible to receive incentive awards such as RJFS may offer. He will also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation will give Mr. Brinkley an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. Brinkley is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr.

Brinkley for insurance-related activities. This presents a conflict of interest because Mr. Brinkley may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Refer to the *Other Business Activities* section above for disclosures on Mr. Brinkley's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



**Sarah B. Walker**

**Consolidated Planning Corporation**  
d/b/a  
**CPC Advisors**  
**CPC Impact Investing**  
**CPC Impact Investments**

**1475 Peachtree St. NE**  
**Suite 750**  
**Atlanta, GA 30309**

**Telephone: 404-879-3000**  
**Facsimile: 404-892-1970**

**June 4, 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Sarah B. Walker (CRD no.) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Sarah B. Walker is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Sarah B. Walker**

*Year of Birth:* 1980

*Formal Education after High School:*

- Georgia State University, Bachelor of Arts, Finance, 2005

*Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Investment Adviser Representative, 04/2024 - Present
- Raymond James Financial Services, Inc., Financial Advisor, 04/2024 - Present
- Ameriprise Financial Services, LLC, Financial Advisor, 09/2017 - 03/2024

*Certifications:*

*None*

Ms. Walker passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Combined State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) examination on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Walker has no required disclosures under this item.

## Item 4 Other Business Activities

Ms. Walker is a registered representative of Raymond James Financial Services Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Walker may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Walker, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Walker could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Walker an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 10% of her time.

Other than what is disclosed above, Ms. Walker does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Walker's receipt of additional compensation as a result of her activities as a financial advisor with RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



**Trevor K. Thompson, CFP<sup>®</sup>**

**Consolidated Planning Corporation**  
d/b/a  
**CPC Advisors**  
**CPC Impact Investing**  
**CPC Impact Investments**

**1475 Peachtree St. NE**  
**Suite 750**  
**Atlanta, GA 30309**

**Telephone: 404-879-3000**  
**Facsimile: 404-892-1970**

**April 5, 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Trevor K. Thompson (CRD no. 4978856) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at 404-879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Trevor K. Thompson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Trevor K. Thompson, CFP®**

*Year of Birth:* 1969

### *Formal Education After High School:*

- North Carolina State University, B.S. in Pulp and Paper Science and Technology, 1993

### *Business Background:*

- Consolidated Planning Corporation, Director of Financial Planning/Investment Adviser Representative, 4/2017 - Present
- Raymond James Financial Services Inc., Registered Representative, 4/2017 - Present

### *Certifications:*

#### **Certified Financial Planner (CFP®) (2009)**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.



Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

In addition, Mr. Thompson passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Combined State Law Examination). He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Thompson has no required disclosures under this item.

### Item 4 Other Business Activities

Trevor Thompson is a Registered Representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Thompson may recommend securities or insurance products offered by RJFS as part of your investment portfolio. If you purchase these products through Mr. Thompson, he will receive the customary commissions in his separate capacity as a Registered Representative of RJFS.

Additionally, Mr. Thompson could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Thompson an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Mr. Thompson is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Thompson for insurance-related activities. This presents a conflict of interest because Mr. Thompson may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractual or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Refer to the *Other Business Activities* section above for disclosures on Mr. Thompson's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a CCO who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

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My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



An Independent Registered Investment Advisory Firm

**Kyleigh M. Cobett**

**Consolidated Planning Corporation**

*d/b/a*

**CPC Advisors**

**CPC Impact Investing**

**CPC Impact Investments**

**111 2<sup>nd</sup> Avenue NE, Suite 1250  
St. Petersburg, FL 33701**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**July 11, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Kyleigh M. Cobett (CRD no. 5841337) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Kyleigh M. Cobett is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Kyleigh M. Cobett**

*Year of Birth:* 1993

*Formal Education after High School:*

- University of South Florida, Bachelor of Arts, Economics, 2016

*Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Associate, 9/2021 - Present
- Raymond James Financial Services, Inc., Registered Representative, 06/2022 – Present
- Raymond James Financial, Wholesaler, 09/2019 - 09/2021
- Eckerd College, Associate Director, 02/2019 - 09/2019
- Raymond James Financial Services, Inc., Registered Representative, 07/2016 - 02/2019

*Certifications:*

*None*

Ms. Cobett passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Investment Adviser State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) examination on December 29, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Cobett has no required disclosures under this item.

## Item 4 Other Business Activities

Ms. Cobett is a registered representative with Raymond James Financial Services Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Cobett may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Cobett, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Cobett could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Cobett an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 22% of her time.

Other than what is disclosed above, Ms. Cobett does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Cobett's receipt of additional compensation as a result of her activities as a financial advisor with RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



**Brooks T. Garrett**

**Consolidated Planning Corporation**  
d/b/a  
**CPC Advisors**  
**CPC Impact Investing**  
**CPC Impact Investments**

**1475 Peachtree St. NE**  
**Suite 750**  
**Atlanta, GA 30309**

**Telephone: 404-879-3000**  
**Facsimile: 404-892-1970**

**February 2024**

**FORM ADV PART 2B**  
**BROCHURE SUPPLEMENT**

This brochure supplement provides information about Brooks T. Garrett (CRD no. 7379030) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Brooks T. Garrett is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Brooks T. Garrett

*Year of Birth:* 1999

#### *Formal Education after High School:*

- University of Georgia, Bachelor of Business Administration, Finance, 2021

#### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Trading and Research Associate, 06/2021 - Present
- Raymond James Financial Services, Inc., Registered Representative, 05/2021 - Present
- Aflac, Inc., Corporate FP&A Summer Analyst (Internship), 06/2020 - 08/2020
- University of Georgia, Student, 05/2017 - 05/2021

#### *Certifications:*

*None*

Mr. Garrett passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Investment Adviser State Law Examination) examinations. He also passed the SIE (Securities Industry Essentials Examination) examination.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Garrett has no required disclosures under this item.

## Item 4 Other Business Activities

Mr. Garrett is a registered representative of Raymond James Financial Services Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Garrett may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Garrett, he will receive the customary commissions in his separate capacity as a division supervision manager of RJFS. Additionally, Mr. Garrett could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Garrett an incentive to recommend investment products based on the compensation received, rather than on your investment needs. He spends approximately 10% of his time.

Other than what is disclosed above, Mr. Garrett does not actively engage in any other investment-related business or occupation, nor does he have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, he is not actively engaged in any other business or occupation for compensation, nor is he actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of his time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Garrett's receipt of additional compensation as a result of his activities as a financial advisor with RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000





**Nicholas J. Hughes**

**Consolidated Planning Corporation**

**111 2<sup>nd</sup> Avenue NE, Suite 1250  
St. Petersburg, FL 33701**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**July 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Nicholas J. Hughes (CRD no. 6739513) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas J. Hughes is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Nicholas J. Hughes

Year of Birth: 1991

#### *Formal Education after High School:*

- Schenectady County Community College, Associate in Applied Science, Criminal Justice, 2011
- University of Tampa, Bachelor of Science, Sports Management, 2013

#### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Investment Adviser Representative, 04/2022 - Present
- Consolidated Planning Corporation, Support Company / DBA, 07/2021 - Present
- Raymond James Financial Services, Inc., Registered Representative, 07/2021 - Present
- Raymond James & Associates, Inc., Registered Associate, 01/2020 - 07/2021
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 11/2018 - 12/2019
- Raymond James Financial Services, Inc., Registered Associate, 11/2018 - 12/2019
- Edward Jones, Financial Advisor, 01/2017 - 10/2018
- Bank of America, NA, Financial Center Operations Manager, 06/2014 - 01/2017

#### *Certifications:*

None

Mr. Hughes passed the Series 7 (General Securities Representative Examination), Series 24 (General Securities Principal Examination), and the Series 66 (Uniform Combined State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Hughes has no required disclosures under this item.

## Item 4 Other Business Activities

Mr. Hughes is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Hughes may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Hughes, he will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally, Mr. Hughes could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Hughes an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Other than what is disclosed above, Mr. Hughes does not actively engage in any other investment-related business or occupation, nor does he have an application pending to register as a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or as an associated person of an FCM, CPO, or CTA.

Additionally, he is not actively engaged in any other business or occupation for compensation, nor is he actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of his time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Hughes' receipt of additional compensation as a result of his activities as a registered representative of RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



**Gregory P. Phillips**

**Consolidated Planning Corporation**

**111 2<sup>nd</sup> Avenue NE, Suite 1250  
St. Petersburg, FL 33701**

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Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**July 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Gregory P. Phillips (CRD no. 2202424) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Gregory P. Phillips is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Gregory P. Phillips

Year of Birth: 1969

#### *Formal Education after High School:*

- Xavier University, Bachelor of Science, Business Management, 1991

#### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Director of Compliance, 11/2023 - Present
- CPC Advisors, Associate/Employee, 11/2023 - Present
- Raymond James Financial Services, Inc., Branch Manager, 11/2023 - Present
- Raymond James Financial Services, Inc., Division Supervision Manager, 07/2019 - 11/2023
- Raymond James Financial Services Advisors, Investment Adviser Representative, 07/2019 - 11/2023
- Raymond James & Associates, Inc., Senior Advisor, 08/2016 - 07/2019

#### *Certifications:*

None

Mr. Phillips passed the Series 4 (Registered Options Principal Examination), Series 7 (General Securities Representative Examination), Series 9 (General Securities Sales Supervisor - Options Module Examination), Series 10 (General Securities Sales Supervisor - General Module Examination), Series 24 (General Securities Principal Examination), Series 31 (Futures Managed Fund Examination), Series 63 (Uniform Securities Agent State Law Examination) and the Series 66 (Uniform Investment Adviser State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) examination on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Phillips has no required disclosures under this item.

## Item 4 Other Business Activities

Mr. Phillips is a branch manager with Raymond James Financial Services Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Phillips may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Phillips, he will receive the customary commissions in his separate capacity as a division supervision manager of RJFS. Additionally, Mr. Phillips could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Phillips an incentive to recommend investment products based on the compensation received, rather than on your investment needs. He spends approximately 50% of his time.

Gregory P. Phillips is an associate of CPC Advisors (“CPC”). He spends approximately 50% of his time.

Other than what is disclosed above, Mr. Phillips does not actively engage in any other investment-related business or occupation, nor does he have an application pending to register as a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or as an associated person of an FCM, CPO, or CTA.

Additionally, he is not actively engaged in any other business or occupation for compensation, nor is he actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of his time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Phillips’s receipt of additional compensation as a result of his activities as a financial advisor with RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation’s firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client’s stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder and Chief Compliance Officer

Supervisor phone number: 404-879-3000



An Independent Registered Investment Advisory Firm

**Judy T. Ross, AAMS®**

**Consolidated Planning Corporation**  
d/b/a  
**J. Thompson Ross Investments**

**3201 S. Cherokee Lane  
Suite 340  
Woodstock, GA 30188-7050**

**Telephone: (770) 345-8008  
Facsimile: (678) 401-3948**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Judy T. Ross (CRD no. 2392716) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Judy T. Ross is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Judy T. Ross, AAMS®**

*Year of Birth:* 1966

### *Formal Education after High School:*

- Clemson University, Bachelor of Science, Accounting, 1988

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor, 11/2022 - Present
- Hanmar 4 Good LLC, Partner, 02/2021 - Present
- Maxen Consulting Group, LLC, Owner, 08/2018 - Present
- Raymond James Financial Services, Inc., Financial Advisor/Client Services Manager, 07/2018 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 07/2018 - 11/2022
- Ranch Springs Investors, LLC, Part Owner, 11/2017 - Present
- DeSoto Investors, LLC, Real Estate Investor, 12/2016 - Present
- Windward Hayke, LLC, Partner, 01/2015 - 12/2023
- J Thompson Ross Investments, Branch Manager/Wealth Advisor, 03/2010 - Present
- Wells Fargo Advisors Financial Network, LLC, Financial Advisor, 03/2010 - 07/2018
- South Cherokee Office Condos LLC, Proprietor/Owner, 03/2010 - Present
- Wells Fargo Advisors, Wealth Manager, 01/2008 - 03/2010
- Family Thompson LLC, Part Owner, 10/2000 - Present

### *Certifications:*

#### **Accredited Asset Management Specialist<sup>SM</sup> (AAMS®) (2001)**

Accredited Asset Management Specialist<sup>SM</sup> (AAMS®) is a professional designation granted by the College for Financial Planning – a Kaplan Company. This designation provides advisors with strong fundamental financial knowledge with a specific focus on asset management and investments.

There are no prerequisites to get the AAMS® designation. Upon passing the exam and paying the fee, individuals must pay the renewal fee and complete 16 hours of continuing education every two years.

Judy T. Ross currently holds the Series 6 (Investment Company Products and Variable Contracts Representative Examination), Series 7 (General Securities Representative Examination), Series 9 (General Securities Sales Supervisor - Options Module Examination), Series 10 (General Securities Sales Supervisor - General Module Examination), Series 63 (Uniform Securities Agent State Law Examination), and the Series 65 (Uniform Investment Adviser Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.



### **Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Ross has no required disclosures under this item.

### **Item 4 Other Business Activities**

Ms. Ross is a financial advisor with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Ross may recommend securities products offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Ross, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Ross could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Ross an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 75% of her time.

Other than what is disclosed above, Ms. Ross does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

### **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Ross' receipt of additional compensation as a result of her activities as a financial advisor of RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

### **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000



An Independent Registered Investment Advisory Firm

**Geri L. Lanning, CFP®**

**Consolidated Planning Corporation**  
d/b/a  
**J. Thompson Ross Investments**

**3201 S. Cherokee Lane  
Suite 340  
Woodstock, GA 30188-7050**

**Telephone: (770) 345-8008  
Facsimile: (678) 401-3948**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: (404) 879-3000  
Facsimile: (404) 892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Geri L. Lanning (CRD no. 7070409) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Geri L. Lanning is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Geri L. Lanning, CFP®**

*Year of Birth:* 1987

### *Formal Education after High School:*

- Kennesaw State University, Bachelor of Business Administration (BBA), Management, 2011
- Kennesaw State University, Bachelor of Business Administration (BBA), Accountancy, 2013

### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor, 11/2022 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 05/2019 - 11/2022
- Raymond James Financial Services, Inc., Financial Advisor, 04/2019 - Present
- J. Thompson Ross Investments, Inc., Registered Associate, 02/2019 - Present
- Raymond James Financial Services, Inc., Branch Associate, 02/2019 - 04/2019

### *Certifications:*

**CERTIFIED FINANCIAL PLANNER™ (“CFP®”) (2022)**

### PROFESSIONAL DESIGNATION DISCLOSURES:

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor’s degree from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.

- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct* (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

Please refer directly to the website of the issuing organization for additional information about this credential.

Ms. Lanning passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Combined State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) on March 16, 2019.

### Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Lanning has no required disclosures under this item.

### Item 4 Other Business Activities

Ms. Lanning is a financial advisor with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Lanning may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Lanning, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Lanning could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Lanning an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 24% of her time.

Other than what is disclosed above, Ms. Lanning does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-

dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Lanning's receipt of additional compensation as a result of her activities as a financial advisor of RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

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In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: (404) 879-3000



An Independent Registered Investment Advisory Firm

**Toni M. Perez, AAMS®**

**Consolidated Planning Corporation**  
d/b/a  
**J. Thompson Ross Investments**

**3201 S. Cherokee Lane  
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**Telephone: (770) 345-8008  
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**1475 Peachtree St. NE  
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**Telephone: (404) 879-3000  
Facsimile: (404) 892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Toni M. Perez (CRD no. 6662088) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Toni M. Perez is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Toni M. Perez, AAMS®**

*Year of Birth:* 1986

*Formal Education after High School:*

- University of South Florida, Bachelor of Science (BS), Finance, 2014

*Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor, 11/2022 - Present
- Raymond James Financial Services, Inc., Registered Representative, 03/2022 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 03/2022 - 11/2022
- J. Thompson Ross Investments, Inc., Client Investment Coordinator, 03/2022 - Present
- Unemployed, 08/2021 - 03/2022
- Charles Schwab & Co., Inc., VP, Financial Consultant, 03/2017 - 08/2021
- Charles Schwab Bank SSB, Shared Employee, 02/2017 - 08/2021

*Certifications:*

**ACCREDITED ASSET MANAGEMENT SPECIALIST<sup>SM</sup> (“AAMS<sup>®</sup>”) (2020)**

**PROFESSIONAL DESIGNATION DISCLOSURES:**

The Accredited Asset Management Specialist<sup>SM</sup>, or AAMS<sup>®</sup> program, is a designation program for financial professionals. The program provides advisors with strong fundamental financial knowledge with a specific focus on asset management and investments.

The program is ideal for those looking to build stronger client relationships and provide more comprehensive advice. Students will learn to identify new investment opportunities and recognize insurance, tax, retirement, and estate issues.

**The AAMS<sup>®</sup> designation streamlines the cost and time toward your next credential.**

As you advance your career, the College recognizes the time and investment it takes to earn industry-recognized credentials. Upon completion of the AAMS<sup>®</sup>, graduates receive exemption from course FP511 in the College's CFP<sup>®</sup> certification education program.

**Plus, completion of professional designations programs can also help you fulfill continuing education (CE) requirements:**

- If you are a CFP<sup>®</sup> professional, successful completion of the College's professional designation programs can qualify for CE credit for CFP<sup>®</sup> certification renewal as follows:
  - ADPA<sup>®</sup> designation program fulfills 22.5 hours
  - All other designation programs (except the FPQP<sup>®</sup>, WMS<sup>SM</sup> and LUTCF<sup>®</sup> programs) fulfill 28 hours

- If you currently hold a professional designation from the College, completion of a new professional designation fulfills CE hours as part of the renewal of your current designation. All AAMS® designation holders are responsible for completing 16 hours of Continuing Education credits every two years.

Ms. Perez passed the Series 7 (General Securities Representative Examination), the Series 63 (Uniform Securities Agent State Law Examination) and the Series 66 (Uniform Combined State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

### **Item 3 Disciplinary Information**

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Perez has no required disclosures under this item.

### **Item 4 Other Business Activities**

Ms. Perez is a registered representative with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Perez may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Perez, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Perez could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Perez an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 24% of her time.

Other than what is disclosed above, Ms. Perez does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

### **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Perez's receipt of additional compensation as a result of her activities as a financial advisor of RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.



## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: (404) 879-3000



**Daren M. Sexton**

**Consolidated Planning Corporation**  
d/b/a  
**J. Thompson Ross Investments**

**3201 S. Cherokee Lane  
Suite 340  
Woodstock, GA 30188-7050**

**Telephone: (770) 345-8008  
Facsimile: (678) 401-3948**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: (404) 879-3000  
Facsimile: (404) 892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Daren M. Sexton (CRD no. 5603052) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Daren M. Sexton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Daren M. Sexton**

*Year of Birth:* 1981

*Formal Education after High School:*

- Georgia Southern University, Bachelor of Business Administration, Finance, 2003

*Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Financial Advisor, 11/2022 - Present
- Raymond James Financial Services, Inc., Senior Registered Associate, 07/2018 - Present
- Raymond James Financial Services Advisors, Inc., Investment Adviser Representative, 07/2018 - 11/2022
- J Thompson Ross Investments, Financial Advisor, 03/2010 - Present
- Wells Fargo Advisors Financial Network, LLC, Portfolio Administrator, 03/2010 - 07/2018

*Certifications:*

None

Mr. Sexton passed the Series 7 (General Securities Representative Examination), and the Series 66 (Uniform Combined State Law Examination) examinations. He also received credit for the SIE (Securities Industry Essentials Examination) on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Sexton has no required disclosures under this item.

## Item 4 Other Business Activities

Mr. Sexton is a senior registered associate with Raymond James Financial Services, Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Sexton may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Mr. Sexton, he will receive the customary commissions in his separate capacity as a registered representative of RJFS. Additionally, Mr. Sexton could be eligible to receive incentive awards such as RJFS may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Sexton an incentive to recommend investment products based on the compensation received, rather than on your investment needs. He spends approximately 24% of his time.

Other than what is disclosed above, Mr. Sexton does not actively engage in any other investment-related business or occupation, nor does he have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, he is not actively engaged in any other business or occupation for compensation, nor is he actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of his time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Mr. Sexton's receipt of additional compensation as a result of his activities as a senior registered associate of RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: (404) 879-3000



**Patricia A. Hayton**

**Consolidated Planning Corporation**  
d/b/a  
**J. Thompson Ross Investments**

**3201 S. Cherokee Lane, Suite 340  
Woodstock, GA 30188-7050**

**Telephone: 770-345-8008  
Facsimile: 678-401-3948**

**1475 Peachtree St. NE  
Suite 750  
Atlanta, GA 30309**

**Telephone: 404-879-3000  
Facsimile: 404-892-1970**

**April 5, 2024**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Patricia A. Hayton (CRD no. 3161358) that supplements the Consolidated Planning Corporation brochure. You should have received a copy of that brochure. Contact us at (404) 879-3000 if you did not receive Consolidated Planning Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about Patricia A. Hayton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

### Patricia A. Hayton

Year of Birth: 1965

#### *Formal Education after High School:*

Patricia A. Hayton has no formal education after high school

#### *Business Background for the Previous Five Years:*

- Consolidated Planning Corporation, Operations Manager/Financial Advisor, 11/2022 - Present
- Raymond James Financial Services, Inc., Financial Advisor, 07/2018 - Present
- Raymond James Financial Services Advisors Inc., Investment Adviser Representative, 07/2018 - 11/2022
- J Thompson Ross Investments, Registered Associate, 06/2010 - Present
- Wells Fargo Financial Advisors Financial Network, LLC, Branch Administration, 06/2010 - 08/2018

#### *Certifications:*

None

Ms. Hayton passed the Series 7 (General Securities Representative Examination), the Series 9 (General Securities Sales Supervisor - Options Module Examination), the Series 10 (General Securities Sales Supervisor - General Module Examination) and the Series 66 (Uniform Investment Adviser State Law Examination) examinations. She also received credit for the SIE (Securities Industry Essentials Examination) examination on October 1, 2018.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Hayton has no required disclosures under this item.

## Item 4 Other Business Activities

Ms. Hayton is a financial advisor with Raymond James Financial Services Inc. ("RJFS"). RJFS is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Ms. Hayton may recommend securities offered by RJFS as part of your investment portfolio. If clients purchase these products through Ms. Hayton, she will receive the customary commissions in her separate capacity as a registered representative of RJFS. Additionally, Ms. Hayton could be eligible to receive incentive awards such as RJFS may offer. She may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Ms. Hayton an incentive to recommend investment products based on the compensation received, rather than on your investment needs. She spends approximately 10% of her time.

Other than what is disclosed above, Ms. Hayton does not actively engage in any other investment-related business or occupation, nor does she have an application pending to register as a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or as an associated person of an FCM, CPO, or CTA.

Additionally, she is not actively engaged in any other business or occupation for compensation, nor is she actively engaged in any other business activity or activities that provides a substantial source of income or involves a substantial amount of her time.

## **Item 5 Additional Compensation**

Please refer to the *Other Business Activities* section above for disclosures on Ms. Hayton's receipt of additional compensation as a result of her activities as a financial advisor with RJFS.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Consolidated Planning Corporation's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Consolidated Planning Corporation has adopted, and periodically updates, its compliance manual, which includes a formal Code of Ethics and Insider Trading Policies and Procedures, that outlines for each employee the rules and regulations to which they must adhere. Consolidated Planning Corporation has appointed a Chief Compliance Officer who reviews and monitors employee activity with respect to these rules and regulations. In addition, Consolidated Planning Corporation has adopted a Code of Ethics that requires each employee to always act in the best interest of clients.

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Consolidated Planning Corporation, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Hines, President, Founder

Supervisor phone number: 404-879-3000