Bank of Colorado Investment Services | RAYMOND JAMES®

DATA CHECKLIST

An integral part of financial planning is the data upon which our advisors base their analysis. We ask that you provide us with copies of the following documents and any additional information that you feel is pertinent to your financial situation. Please return this page with all supporting documentation. We would be happy to photocopy any originals provided to us and return them promptly.

Income

- ☐ Recent Pay Stubs
- ☐ Most Recent Tax Return
- ☐ Social Security Statements (including wage history; available at www.ssa.gov)
- ☐ Pension Statement (including gross benefit amount or benefit calculation details)

Cash and Investments: Please provide all pages, including those with account title and holdings

- ☐ Voided Check (Primary Checking Account)
- ☐ Bank Statements
- ☐ Brokerage Statements
- ☐ Mutual Fund Statements
- ☐ Retirement Plan Statements (401(k), 403(b), 457(b), Deferred Compensation, etc.)
- ☐ Annuity Statements
- ☐ Executive Benefit Statements / Detail (Stock Options, Restricted Stock, etc.)

Liabilities: Please provide statements or detail including ownership of debt, balance, and rate

- ☐ Mortgage and/or Home Equity Loan Statements
- ☐ Student Loan Statements
- ☐ Business Loans
- ☐ Other Loans/Debt (Auto, Credit Cards, Personal, etc.)

Education Savings: Please provide all pages, including those with account title and holdings

- ☐ UGMA / UTMA Account Statements
- ☐ 529 Account Statements
- ☐ Other Accounts Earmarked for Education (Bank accounts, grandparent assets, etc.)

Estate Planning:

- ☐ Life Insurance Policy Details (Issue Date, Death Benefit, Cash Value, Term, Owner, Beneficiary, Premium)
- ☐ Long-Term Care Policy Details (Issue Date, Benefit, Cost of Living Adjustment, Exclusion Period, Premium)
- ☐ Wills and Codicils
- ☐ Powers of Attorney
- ☐ Trust Documents and Amendments

Other Insurance:

- ☐ Property/Casualty Insurance Declaration Pages

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