AMS RESEARCH

Team Biographies

The Manager Research department of Raymond James is a team dedicated to the research and evaluation of professional portfolio managers for all Asset Management Services ("AMS") platforms. The three main functions of this department are investment manager selection, analysis and monitoring of selected products, and communication to financial advisors and clients in regards to Raymond James Consulting Services ("RJCS"), Freedom model portfolios, Unified Managed Accounts, and Multiple Discipline Account managers.

Tom Thornton, CFA, CAIA, CIPM

Senior Vice President – Research Director

- Bachelor of Science: Finance, from the University of Illinois
- Started in industry in 1988, with Raymond James since 1990
- Holds Chartered Financial Analyst designation, 1998
- Holds Chartered Alternative Investment Analyst designation, 2019
- Holds Certificate in Investment Performance Measurement, 2013
- Licenses: Series 7, 63, 65
- Memberships: CFA Institute, CIPM Association, Financial Analysts of Tampa Bay, CAIA Institute
- Committees: Chair- AMS Investment Committee, RJA Mutual Fund Research Committee

Nick Lacy, CFA

Chief Portfolio Strategist – Asset Allocation

- Master of Business Administration, University of Tampa
- Bachelor of Science: Finance, from University of South Florida
- Bachelor of Arts: Political Science, from University of South Florida
- Started in industry in 1995, with Raymond James since 2006
- Holds Chartered Financial Analyst designation, 2005
- Memberships: CFA Institute, Investment Management Consultants Association (IMCA), Financial Analysts of Tampa Bay
- Committees: AMS Investment Committee, Raymond James Investment Strategy Committee, Raymond James Qualified Retirement Plan Investment Committee

Glenn Hudson

Vice President – Manager Research

- Started in industry in 1995, with Raymond James since 1995
- Licenses: Series 7, 8, 24, 55, 63, 65

Kevin Pate, CAIA

Vice President – Asset Allocation

- Bachelor of Science: Finance, from Florida State University
- Started in industry in 1996, with Raymond James since 1996
- Holds Chartered Alternative Investment Analyst designation, 2012
- Licenses: Series 7, 24, 66
- Memberships: Investment Management Consultants Association (IMCA), CAIA Institute
- Committees: AMS Investment Committee

Andy Read, CFA

Vice President – Manager Research

- Bachelor of Science: Applied Economics and Management, from Cornell University
- Started in industry in 2003, with Raymond James since 2005
- Holds Chartered Financial Analyst designation, 2008
- Licenses: Series 6, 7, 63
- Memberships: CFA Institute
- Committees: AMS Investment Committee, IFS Investment Committee

Johnny Suarez

Vice President – Manager Research

- Bachelor of Science: Finance, from University of South Florida, Magna Cum Laude
- Started in industry in 2004, with Raymond James since 2006
- Committees: Raymond James Qualified Retirement Plan Investment Committee, AMS Investment Committee
- Fluent in Spanish

Jeremy Brothers, CFA, FRM

Director – Asset Allocation

- Bachelor of Science: Natural Resources, from University of Idaho
- Started in industry in 2007, with Raymond James since 2011
- Licenses: Series 7, 66, 24, 53
- Holds Chartered Financial Analyst designation, 2016
- Holds Financial Risk Manager designation, 2017
- Memberships: CFA Institute, Global Association of Risk Professionals

Iris Wang, CFA

Director – Asset Allocation

- Masters in Finance, Weather School of Management, from Case Western Reserve University, Cleveland, OH
- Bachelor of Science: International Business/Econ double major, China University of Political Science and Law, Beijing, China
- Started in industry in 2010, with Raymond James since 2022
- Holds Chartered Financial Analyst designation, 2014
- Memberships: CFA Institute
- Native in Mandarin Chinese

Jeff Gardner, CFA

Associate Director – Manager Research (Memphis)

- Master of Business Administration, University of Memphis
- Bachelor of Business: Administration, Finance, from University of Memphis
- Started in industry in 2004, with Morgan Keegan since 2005, with Raymond James since 2013
- Holds Chartered Financial Analyst designation, 2013
- Licenses: Series 7, 66
- Memberships: CFA Institute

Mike Meleen

Associate Director – Asset Allocation

- Bachelor of Science: Finance, from University of South Florida
- Started in industry in 2005, with Raymond James since 2005
- Licenses: Series 7